

- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b);
- ☒ Assignment of the invention to Ligand Pharmaceuticals Incorporated (together with form PTO-1595).
- ☒ Grant of Power of Attorney.

2. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

| EXTENSION (months) | FEE FOR SMALL ENTITY | FEE FOR OTHER THAN SMALL ENTITY |
|--------------------------------------|-------------------------|------------------------------------|
| <input type="checkbox"/> one month | \$55.00 | \$110.00 |
| <input type="checkbox"/> two month | \$200.00 | \$400.00 |
| <input type="checkbox"/> three month | \$460.00 | \$920.00 |
| <input type="checkbox"/> four month | \$720.00 | \$1,440.00 |
| <input type="checkbox"/> five month | \$980.00 | \$1,960.00 |

3. FILING FEES

| | |
|---|-------------------|
| BASIC FILING FEE: | \$740.00 |
| Total Claims 167 - 20 = 147 x \$18.00 | \$2,646.00 |
| Independent Claims 2 - 3 = 0 x \$84.00 | 0 |
| Multiple Dependent Claims \$280 (if applicable) <input checked="" type="checkbox"/> | \$280.00 |
| Surcharge 37 CFR § 1.16(e) \$130 (if applicable) | \$130.00 |
| TOTAL OF ABOVE CALCULATIONS: | \$3,796.00 |
| Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. <input type="checkbox"/> | |
| Extension of Time (from above) <input type="checkbox"/> | |
| Assignment--\$40 (if applicable) <input checked="" type="checkbox"/> | \$40.00 |
| TOTAL FEES SUBMITTED HEREWITH: | \$3,836.00 |

4. **METHOD OF PAYMENT OF FEES:**

- ☒ Check in the amount of \$3,836.00 is enclosed to cover the above fee(s).
☐ Charge Deposit Account No. **50-1273** in the amount of \$_____.
☒ The Commissioner is authorized to charge Counsel's Deposit Account No. **50-1273** for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account **50-1273**.

Respectfully submitted,

BROBECK, PHLEGER & HARRISON LLP

Dated: May 15, 2002

By: Richard H. Pagliery
Richard H. Pagliery
Reg. No. 44,276

RHP/dlc

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/080,503 | 02/22/2002 | Robert I. Higuchi | 015110.0058.UTL1 |

CONFIRMATION NO. 8671

FORMALITIES LETTER



Richard H. Pagliery
Brobeck, Phleger & Harrison LLP
12390 El Camino Real
San Diego, CA 92130-2081

RECEIVED

MAR 20 2002

Brobeck, Phleger & Harrison LLP

Date Mailed: 03/15/2002

Due 5/15/02

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/20/2002 BABRAHA1 00000111 10080503

FILED UNDER 37 CFR 1.53(b)

| | |
|-----------|------------|
| 01 FC:101 | 740.00 OP |
| 02 FC:105 | 130.00 OP |
| 03 FC:103 | 2646.00 OP |
| 04 FC:104 | 280.00 OP |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2926.
 - \$2646 for 147 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3796.

A copy of this notice **MUST** be returned with the reply.

Tadus

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE